



Unveiling Microbial Contamination: Sampling and Detection Strategies in the Pharmaceutical Landscape

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ABSTRACT:

Introduction: Microbial contamination poses a significant threat to product safety and efficacy in the pharmaceutical industry. This review examines various sampling and detection strategies employed to identify and eliminate microbial contaminants throughout the pharmaceutical production process. We discuss the diverse sources of microbial contamination, ranging from raw materials and environmental air to personnel and equipment.

Objectives Comprehensive understanding of how the pharmaceutical industry safeguards product quality by effectively unveiling and mitigating microbial contamination.

Methods: The review explores a range of sampling methods applicable to different matrices, including air sampling, water sampling, surface swabbing, and aseptic sampling techniques for sterile products. We delve into the advantages and limitations of traditional culture-based methods alongside modern detection techniques like rapid microbiological methods and molecular biology tools.

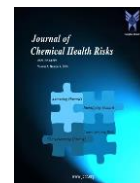
Results: A variety of sampling and detection techniques are examined in the review with the goal of exposing microbial contamination in the pharmaceutical sector. By means of an extensive examination, it demonstrates the effectiveness of various methods in recognizing and reducing microbiological hazards, providing insightful information for enhancing quality assurance procedures and guaranteeing the security and authenticity of pharmaceutical items.

Conclusions: To conclude that Microbial contamination poses a significant threat to pharmaceutical product safety and efficacy. Using appropriate detection methods, we ensure the quality and sterility of pharmaceutical products.

1. Introduction

Microbes are found all over nature, and their enormous potential is an undeniable and obvious fact. Carefully considered research can be done on the pharmacological elements of microbial diversity to support and protect human health standards. Numerous investigations have shown the amazing miracles that aim to extend and improve human health by treating infectious diseases and various metabolic abnormalities. Microbes are microscopic organisms that are too small for the human eye to see, although they are present everywhere. They are found in the air, on land, and in water. Millions of these bacteria, often known as microorganisms or

microscopic organisms because they can only be seen under a microscope, can be found inside the human body. In the pharmaceutical industry, contamination is the term used to describe undesirable materials, microbes, or particles found in pharmaceutical goods. Contamination can jeopardize patient safety, impact the effectiveness of the product, and have catastrophic outcomes. One of the biggest issues facing the pharmaceutical industry today is contamination. To ensure the production of safe and high-quality products, it is important to identify the source of contamination and maintain strict hygiene standards. There are various common types of Pharmaceutical Contamination:



i. **Physical Contaminants:** These could consist of chips, particles, and fiber elements that could go into the packaging or manufacturing process and contaminate the whole batch.

ii. **Pyrogenic Substances:** Fever is caused by these microorganisms. The user's bloodstream may become infected with fever if these pollutants are present in sterile pharmaceutical items.

iii. **Chemical Contaminants:** Additionally, sterile pharmaceutical goods may become contaminated by gases, vapor, moisture, or molecules, which could pose serious safety risks.

iv. **Biological Components:** These include bacteria, fungi, and viruses that can spread illness and should not be present in pharmaceutical products. [1]

The presence of microorganisms like bacteria, viruses, or fungi in pharmaceutical products is known as microbial contamination, which is a particular kind of contamination. In the pharmaceutical sector, microbiological contamination can have dire repercussions, endangering worker, patient, and environmental safety in addition to lowering production. It is imperative to identify and prevent hazardous contaminants in addition to upholding good hygiene standards, which should not be limited to regulating bio-burden levels through efficient cleaning.

1.1 Sources of Microbial Contamination

In the pharmaceutical sector, microbial contamination is a serious problem that compromises the efficacy and sterility of drugs. Throughout the production process, a number of causes may give rise to contamination. Microbes can be introduced by staff members through poor handling or cleanliness techniques. Contaminants may be present in the raw materials and water used in manufacturing. The environment within the facility can be quite important, as bacteria can flourish in conditions with poor cleaning, ventilation, or pest management. Strict air filtering is required since even the air can introduce pollution. Pharmaceutical manufacturers need to carefully monitor these various sources of contamination at every stage of production to protect patient safety. Here are the few main sources of microbial contamination:

- **Personnel**

Insufficient training; direct contact between the operator's hands and starting materials, primary packaging materials, and intermediate or bulk products; unclean personnel; unauthorized personnel accessing production, storage, and product control areas; inadequate gowning and personal protective equipment; and misconduct such as eating, drinking, or smoking in the processing and storage areas.

- **Buildings and Facility**

The area is too small and poorly organized, which can result in mistakes during the selection process, such as confusion or cross-contamination between consumables, raw materials, in-process materials, and final products. Insufficient measures to prevent dirt buildup and pest infestations; uneven flooring, walls, and ceilings; absence of air filtering systems; inadequate lighting and ventilation; awkwardly placed vents, ledges, and drains; and inadequate restroom, washing, and locker facilities to support hygienic operations; inadequate facilities, equipment, and utensil cleaning; and inadequate personal hygiene.

- **Equipment**

Improper size, composition, and/or adulteration with lubricants, coolants, dirt, and sanitizers causing corrosion and the buildup of static material, poor sanitization, and cleaning. Design that makes it difficult to maintain and clean properly. Inadequate calibration, inconsistent maintenance, and the purposeful use of faulty equipment.

- **Materials**

Errors in storage and handling that lead to confusion or poor selection, microorganism or other chemical contamination, and degradation brought on by prolonged exposure to extreme weather conditions, such as heat, cold, sunshine, dampness, etc. Incorrect labeling, inadequate testing and sampling, and utilizing materials that don't adhere to approval requirements.

- **Manufacturing Process**

A single product cannot be manufactured in a dedicated facility; improper cleaning between batches reduces the number of product changeovers; an open manufacturing system exposes the product to the immediate room environment; improper zoning; an area line clearance is not provided in accordance with approved procedures after each cleaning process and between batches; and all



materials and equipment used in the manufacturing facility do not have their cleaning status labelled. [2]

2. Impact of Microbial Contamination on Pharmaceutical Industry

A major risk to patient safety, product quality, and a company's entire viability is microbiological contamination in the pharmaceutical sector. The main worry is that contaminated drugs could get into patients' bodies and spread harmful microbes that could lead to serious infections, allergic reactions, or even death. Moreover, the active chemicals in medications might be broken down by microbiological development, which makes them useless for treating the intended condition. Moreover, the active chemicals in medications might be broken down by microbiological development, which makes them useless for treating the intended condition. It also influences different situations are as follows:

- **Product Quality and Efficacy:** The quality, safety, and effectiveness of pharmaceutical goods can be jeopardized by microbial infection. Contaminating microorganisms can create poisons or metabolites that make products hazardous or ineffective, break down active pharmaceutical ingredients (APIs), or change the chemical makeup of formulations. This may result in therapeutic efficacy being diminished, product failures, and possible patient health hazards.
- **Product Recalls and Market Withdrawals:** In order to protect customers from potential injury, product recalls or market withdrawals are frequently required when microbiological contamination in pharmaceutical items is discovered. Pharmaceutical businesses may suffer large financial losses as a result of recalls because of the expenses involved in product recovery, disposal, investigation, and reputational impact. Recalls can also damage a brand's credibility with customers, which can affect sales and market share in the future.
- **Regulatory Compliance Issues:** Pharmaceutical businesses may experience difficulties adhering to regulatory bodies' stringent rules and regulations regarding product safety, quality, and manufacturing procedures as a result of microbial contamination. Fines, legal penalties, warning letters, enforcement proceedings, and other consequences may follow noncompliance with regulatory obligations. A company's earnings and competitiveness may be impacted by non-

compliance, which may also postpone the clearance of new products, their introduction onto the market, or market entry.

- **Impact on Manufacturing Operations:** Microbial contamination can cause delays in production, downtime, and higher expenses in the pharmaceutical manufacturing process. It might be necessary to destroy contaminated batches, and before production lines can be used again, they might need to be thoroughly cleaned, disinfected, or validated. In order to stop contamination accidents from happening again, it may also be necessary to conduct investigations, take remedial action, and improve processes. This might take time and money away from other important tasks for management.
- **Risk to Public Health:** The distribution and ingestion of tainted pharmaceutical items by patients presents a significant risk to public health due to microbial contamination. Vulnerable groups, such as those with underlying medical disorders or compromised immune systems, may be more susceptible to infections, allergic responses, or other negative outcomes from contaminated microorganisms. Emergencies in public health, heightened public anxiety, and more regulatory oversight may result from infectious disease outbreaks connected to tainted pharmaceuticals.
- **Reputation Damage and Brand Equity:** The distribution and ingestion of tainted pharmaceutical items by patients presents a significant risk to public health due to microbial contamination. Vulnerable groups, such as those with underlying medical disorders or compromised immune systems, may be more susceptible to infections, allergic responses, or other negative outcomes from contaminated microorganisms. Emergencies in public health, heightened public anxiety, and more regulatory oversight may result from infectious disease outbreaks connected to tainted pharmaceuticals.

3. Testing and Detection of Microbial Contamination from various Sources

To protect against microbiological hazards, multiple strategies must be used. An essential first step is testing and microbiological contamination detection. This entails employing a variety of strategies, such as molecular ways to identify certain infections, rapid procedures for quicker turnaround times, and culture-based techniques for preliminary screening. Industries



may ensure public health and product quality by customizing the procedures to the source of contamination, which could be air, water, or samples.

3.1 Manufacturing Airspace:

The term "manufacturing airspace" is not frequently encountered in the pharmaceutical sector. But whether you're talking about the idea of "cleanroom airspace" or the regulated setting necessary for producing

pharmaceuticals. Keeping a clean and regulated environment is essential in the pharmaceutical sector to assure the quality and safety of the products, especially when manufacturing sterile medicines like injectables, ointments, and eye drops. Usually, cleanrooms are used to achieve this.

Table 1. ISO 14644-1 Cleanroom Standards | Cleanroom Classifications [3]

Class	Maximum Particles/m ³						FED STD 209E equivalent
	≥0.1 um	≥0.2 um	≥0.3 um	≥0.5 um	≥1 um	≥5 um	
ISO 1	10	2					
ISO 2	100	24	10	4			
ISO 3	1,000	237	102	35	8		Class 1
ISO 4	10,000	2,370	1,020	352	83		Class 10
ISO 5	1,00,000	23,700	10,200	3,520	832	29	Class 100
ISO 6	10,00,000	2,37,000	1,02,000	35,200	8,320	293	Class 1,000
ISO 7				3,52,000	83,200	2,930	Class 10,000
ISO 8				35,20,000	8,32,000	29,300	Class 1,00,000
ISO 9				3,52,00,000	83,20,000	2,93,000	Room Air

In 1999, the ISO-14644-1 scale was introduced, and is now the de facto measurement of how "clean" a clean room really is. The classification is based on the number and size of particles found in the air within the space.

It is important to note that although ISO 9 is the "dirtiest" clean room classification, it is still far cleaner than an ordinary space. However, in order to get the most out of your equipment and maximize its performance, it is still important to know how your clean room rates. In this sense, "airspaces" refers to the various sections of a cleanroom facility where particular procedures are

Cleanrooms are carefully constructed spaces with temperature, humidity, and other environmental factors regulated, along with the number of airborne particles present. They are categorized according to the degree of cleanliness needed for a given manufacturing process. The maximum number of particles that can be present in an air cubic meter at a certain particle size is usually what determines the classification. There are various "airspaces" or zones with differing levels of cleanliness inside a cleanroom. Usually, these zones are assigned in

accordance with ISO (International Organization for Standardization) guidelines.

conducted. These airspaces are made to stay as clean as necessary and shield the pharmaceutical items that are being produced from contamination.

3.1.1. Need of Manufacturing Cleanroom

It is crucial to keep the surroundings sanitary in the pharmaceutical sector. Manufacturing cleanrooms offer a regulated environment with low levels of dust, bacteria, and other impurities in the air. This is critical due to the significant risk of contamination associated with pharmaceutical items, particularly injectables. Microbes in even minute quantities have the potential to degrade product quality, making it unsafe or ineffective. Consequently, cleanrooms are a vital tool for guaranteeing the safety and sterility of pharmaceutical items, which in turn protects patient health.

i. **Product Safety and Quality:** To ensure their safety and efficacy, pharmaceutical products, especially sterile medications like injectables must be prepared in



surroundings free from contamination. The risk of product contamination is reduced by the regulated conditions and low airborne particle levels that cleanrooms provide.

ii. **Regulatory Compliance:** Pharmaceutical manufacturing facilities are subject to strict regulations from regulatory bodies like the Food and Drug Administration (FDA) and the European Medicines Agency (EMA). In order to achieve the standards for product quality and safety, compliance with these rules frequently requires the usage of cleanrooms.

iii. **Protecting Patient Health:** Pharmaceuticals are used by patients in an attempt to prolong their lives or enhance their health. By making sure that these items are produced in clean surroundings, the possibility of harmful reactions or infections as a result of contamination is decreased.

iv. **Minimizing Product Loss:** Pharmaceutical companies may suffer large financial losses as a result of batch failures or recalls brought on by contamination in pharmaceutical manufacturing. Cleanrooms reduce the possibility of losses resulting from contamination by offering regulated production conditions.

v. **Maintaining Product Integrity:** A wide range of environmental elements, including temperature, humidity, and airborne particles, can affect pharmaceutical products. By controlling these variables, manufacturers are able to maintain the integrity of their products throughout the manufacturing process, thanks to cleanrooms.

3.1.2. Microbial Growth in Manufacturing Air

Ensuring the safety and quality of products requires regulating microbial growth in manufacturing spaces, particularly in sectors like pharmaceuticals. Pharmaceutical items may become contaminated by microorganisms, which could be harmful to patients and result in decreased efficacy and safety. Expert opinion and scholarly studies on cleanrooms indicate that there are three primary categories of microbiological sources of contamination in cleanrooms:

- i. People Flow
- ii. Material flow – solid materials and water
- iii. Airflow and ventilation

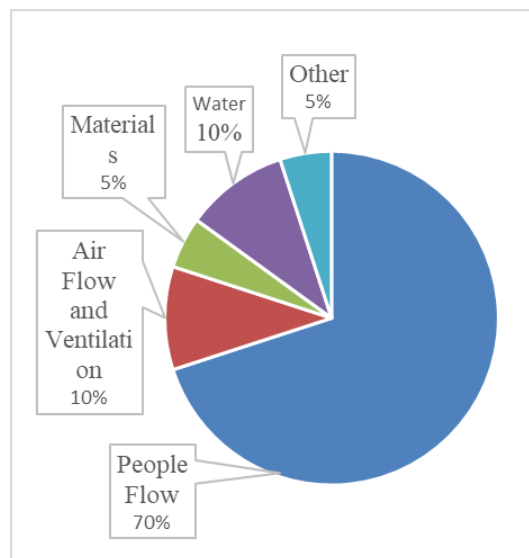
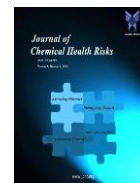


Figure 1 Most Common Sources of Microbial Contamination in Cleanroom

i. **People Flow:** A number of research and industry surveys have reported that the majority of microorganisms detected in cleanrooms are related to humans and can be linked to the gowning areas. Microbes that are naturally present on human skin and those that individuals breathe can enter the clean room as a result of people working and moving around in it. A recurring problem for staff entering the cleanroom is preventing particulates and germs. The arrangement of the gowning rooms (airlocks) and the manner in which cleanroom attire is put on within them affect the particle and microbe emissions produced by personnel working in the cleanroom. One of the most effective methods for reducing microbiological contamination associated with people flow is to generally restrict the number of individuals who can enter the cleanroom and the amount of time they spend there.

ii. **Material Flow, including Water:** Entering the cleanroom facility with supplies, raw materials, or their packaging can be a significant source of contamination. Research indicates that microbial contamination associated with goods can often be linked to pallets, trolley wheels, bags, boxes, particularly carton boxes, shoes, and shoe covers. When goods are moved from storage to areas used for cleanroom preparation and production, the outer layers of packing are routinely discarded step by step. Particles and bacteria may become airborne during the unpacking process and



disperse around the space and its surfaces. Microbes and microbial spores can also be picked up and moved from the dirtier region to the cleaner area by staff shoes and trolley wheels. It is possible for infected products to leave the facility if material flows allow germs to enter the cleanroom. About 5% of microbiological contamination can be attributed to the movement of goods into and out of clean zones, however, this number will obviously vary depending on the type of production and how well the transportation procedures can be managed. In cleanroom operations, water is frequently utilized in addition to solid materials. Water-using manufacturing locations may have wetness or spills that encourage the growth of microorganisms, and water tanks and pipes themselves may eventually become sources of pollution. Biofilm formation is frequently linked to places or containers used for handling or storing water. Studies show that up to 10% of microbial contamination can be attributed to water.

iii. **Air Flow and Ventilation:** Maintaining a cleanroom's air quality is essential since airborne bacteria and particulates can settle on materials, surfaces, tools, and finished goods. The air in the cleanroom is maintained as free of particles and microorganisms as judged essential by using HEPA or ULPA filters, and in many cases, pressure variations between the cleanroom and surrounding environment. Regulation and risk analysis form the basis of the ISO/cGMP class level of air purity requirements. A greater amount of particles and germs may enter the production area if the cleanroom filter/HVAC system is not operating as planned due to HEPA/ULPA filter failures and/or unintentional airflow from airlocks to the area. In one instance, the HEPA filters at a production facility exhibited mold growth. Roughly 10% of contamination can be attributed to air flows. A significant increase in contamination may occur in the event of filter or airlock malfunction. [4]

3.1.3. Air Sampling of Manufacturing Cleanroom for Microbial Detection

It is crucial and well-established to monitor the air microbiologically at facilities that produce pharmaceuticals and medical equipment. For biocontamination management in cleanrooms and other controlled environments, international standards have been issued (ISO 14698-1/2), and it is a regulatory necessity in the majority of nations. Therefore, keeping

an eye on airborne microorganisms is crucial to environmental monitoring in many different industries. To assist operators in implementing an efficient monitoring program, a number of technological solutions have been developed, not only for the pharmaceutical industry but also for hospitals, food factories, and other environments.

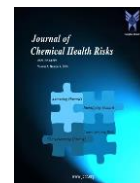
There are two principal means of monitoring the microbiological population of air, passive monitoring and active sampling. Both have a part to play, but active sampling methods have become an essential environmental monitoring tool, especially in the pharmaceutical and medical device sectors.

- Passive Monitoring
- Active Air Sampling

Passive Monitoring:

Passive monitoring is usually done using 'settle plates' – standard Petri dishes containing appropriate (usually non-selective) culture media that are opened and exposed for a given time and then incubated to allow visible colonies to develop and be counted. Since settle plates can only really monitor live biological particles that sediment out of the air and settle onto a surface over a period of exposure, its applications are severely limited. The results are not quantitative since they are unable to sample certain air volumes and are unable to identify tiny particles or droplets suspended in the atmosphere. They are also vulnerable to interference and contamination from non-airborne sources and the agar growth medium in the plates may deteriorate if they are exposed for too long. Settle plates may easily become overgrown in heavily contaminated conditions and interpretation of the data they produce can be difficult.

Conversely, settle plates are affordable, simple to use, and don't require any special tools. They are helpful for the qualitative investigation of airborne microorganisms, and the information they generate can identify underlying patterns in airborne pollution and offer early alerts for issues. They are also helpful for keeping an eye on airborne contamination of particular surfaces. Settling plates can serve as a suitable tool for biological air quality monitoring in low-risk food factories.



Active Air Sampling:

Active air sampling requires the use of a microbiology air sampler to physically draw a known volume of air over, or through, a particle collection device and there are two main types.

A. Impingers –

A liquid media is used by impingers to capture particles. Usually, a suction pump draws sampled air into a tiny flask holding the collection medium via a narrow intake tube. The air is accelerated towards the collection medium's surface as a result, and the entrance tube's diameter controls the flow rate. Any suspended particles are impinged into the collection liquid as the air suddenly changes direction and contacts the liquid's surface. The collected liquid can be cultivated to count live bacteria when the sampling is finished. The result is quantitative since the flow rate and sampling time may be used to compute the sample volume. Impingers have drawbacks when it comes to routine air microbiological monitoring. Glass is typically used in traditional designs, which is inappropriate in facilities that produce food and pharmaceuticals. Extended periods of sampling may permit certain microorganisms to proliferate in the liquid collection media, and impingement into liquids may also harm and impair the viability of some microbial cells. To get findings more quickly, the liquid collection medium allows the sample to be analyzed using a range of techniques, including molecular approaches like PCR.

Variations on the impinger design have been utilized to produce instruments that may be used to sample the air in clean rooms and other controlled settings. Examples of these instruments are the Bertin Technologies Coriolis®μ sampler and the VWR-pbi SAS-PCR sampler. Both are not made of glass. The sampled air is accelerated into the collection liquid by the Coriolis sampler using the cyclone effect. Centrifugal force propels any airborne suspended particles out of the way, where they gather on the conical collection vessel's walls and concentrate in the liquid collected. The SAS-PCR apparatus is specifically made to gather pathogens for later molecular identification, and it rotates the collection liquid to extend the duration of contact with the air sample.

B. Impactors –

Impactor samplers are far more often used in commercial applications than impingers, mostly due to their ease. Impactor samplers use a solid or sticky medium, such as agar, for particle collection. A pump or fan draws air into the sampling head of an impactor sampler, which is then propelled through a tiny slit (slit samplers) or a perforated plate (sieve samplers). This creates a laminar airflow on the collecting surface, which is frequently a contact plate or conventional agar plate loaded with an appropriate agar medium. The width of the slit in slit samplers and the diameter of the pores in sieve samplers affect the air velocity. When the air undergoes a tangential direction change as it approaches the collection surface, any suspended particles are propelled onto it by inertia. The agar plate can be taken out and incubated right away without any additional processing once the proper amount of air has been forced through the sampling head. The number of visible colonies at the end of incubation provides a precise quantitative estimate of the number of colonies forming units in the air sample.

Impaction samplers are convenient devices that may be used to minimize contamination and variation risk. Pre-poured, gamma-irradiated contact plates and regular petri dishes from specialized vendors can be utilized with impaction samplers. In clean environments, where there are probably very few bacteria present, they can also manage higher flow rates and huge sample quantities required for air quality monitoring. To avoid the medium drying out and degrading, it is important to make sure that agar plates are not left in the sampler heads for an extended period of time. Mechanical stress during the sample procedure can also harm microbial cells and cause them to become non-viable. The majority of impaction samplers also exclude the use of quick techniques for counting and characterizing microorganisms; instead, they require several days of traditional culture to get a result. Using a water-soluble polymer gel in place of agar can help solve this issue to some extent. This makes it possible to analyze the sample quickly using methods like PCR or cytometry. The impaction principle has been applied to the development of many different instruments. The Andersen sampler is a well-known device that uses a multi-stage "cascade" sieve sampler. It separates particles based on size by using perforated plates with progressively smaller holes at each stage. The Casella slit sampler is another well-



known device. It involves positioning the slit above a turntable that has an agar plate on it. The agar plate rotates as air is drawn through the slit, distributing the particle deposit equally throughout its surface.

Although both of these devices have been in use for a long time, a number of very portable and practical impaction samplers have lately been created especially for the purpose of monitoring the air in sensitive places such as manufacturing plants. Most of them are sieve samplers that use full-sized culture plates or agar contact plates as the collection surface. One example of such a sampler is the Surface Air System (SAS) sampler manufactured by VWR-pbi in Italy. Some, meanwhile, like the Merck Millipore RCS samplers, use a centrifugal impeller to force air onto a special agar-coated strip so it may be incubated right away. These portable samplers are designed to sample a predetermined volume of air or a series of samples at predetermined intervals. They can be carried by hand or fixed on a tripod. Additionally, there are samplers made expressly to keep an eye on compressed gases' microbiological quality.

There are additional semi-automated systems available for monitoring clean rooms and regulated production zones; these systems are often based on sieve-type impaction samplers. Usually, these systems make use of several sampler heads connected to a central control unit that may be configured to adhere to a predetermined sampling schedule. It is possible to install the sampler heads permanently so that they go through the same sterilization process as the rest of the clean room. Alternatively, a wireless network of mobile air samplers managed by a centralized PC can be established; no electrical or vacuum line connections are required. Semi-automated methods frequently enable integration with QC and environmental monitoring software programs, like Lonza's MODA-EMTM, serving as the foundation for a paperless approach to keeping track of microbiological data.

Other Types –

Although other types of samplers are sometimes utilized in specific applications, the majority of commercially available microbiological air samplers use impaction or impingement collecting techniques. Filtration is the most widely used substitute, in which a membrane filter is employed to extract air through a vacuum line or pump. The filter medium can be gelatine, which can be

dissolved and analyzed quickly, or via culture, polycarbonate, or cellulose acetate, which can be incubated directly by spreading onto the surface of an agar medium. There are portable filtration samplers made specifically for the pharmaceutical business, and filtration procedures are precise and dependable. Filtration, on the other hand, is less practical than impaction-based sampling and may stress the confined microbes by dehydrating them.

Recently, devices that can instantly identify microorganisms in the air have been developed. These use laser technology to quickly detect and count microbiological pollutants by causing fluorescence in any live particles in the air that are drawn through the device. One such product is Particle Measuring Systems' BioLaz® instrument, which is intended primarily for usage in the pharmaceutical and medical goods industries. Similar Laser Induced Fluorescence (LSI) technology is used by TSI's BioTrak® Real-Time Viable Particle Counter equipment, which can also simultaneously count viable and total particles. [5,6]

3.2 Water system

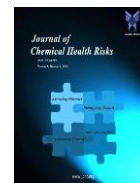
Water is utilized in many procedures in the pharmaceutical sector, and its purity must adhere to strict guidelines to guarantee the efficacy and safety of pharmaceutical products. Pharmaceutical water systems are designed to provide specific types of water for a range of applications in the industry. The European Pharmacopoeia (Ph. Eur.) and/or the United States Pharmacopeia (USP) designate four classes of water used in pharmaceutical manufacture:

i. Potable Water:

It has no direct product contact; rather, it serves as the starting point for the subsequent grades. Every grade has microbiological problems pertaining to the production process, the level of purification needed, and distribution and storage procedures.

ii. Purified Water:

It is usually made by reverse osmosis and is meant to be used in formulations that don't need to meet endotoxin specifications and aren't meant to be sterile or apyrogenic. Some topical and oral medications, as well as the granulation procedures for tablets and capsules, are among its uses. When it comes to these drugs, the main



concerns are the total bioburden and the lack of "objectionable" microorganisms: those that could be harmful to the patient depending on how they are administered. Additionally, WFI and pharmaceutical-grade clean steam are fed with purified water.

iii. Highly Purified Water:

As essential by the Ph. Eur, highly purified water is meant to be used in the manufacturing of ophthalmic, nasal/ear, cutaneous, and other drugs. The water grade in question necessitates the reduction of endotoxins (less than 0.25 EU/mL) and bioburden (less than 10 CFU/100 mL).

iv. Water for Injection:

According to both USP and Ph. Eur. since 2015, WFI is the highest-grade water used in the pharmaceutical business and is made either by distillation or reverse osmosis. The Ph. Eur. specifies < 10 CFU/100 mL for bioburden and < 0.25 EU/mL for endotoxin as the limits for control. In the best cleanrooms, WFI is utilized for the manufacture of irrigation solutions, parenteral medications, and dialysis solutions. [7]

The first step in comprehending fundamental pharmaceutical operations is to grasp the water purification system used in the industry. Pure water is one of the most important resources and is essentially needed for every step of the manufacturing process. Pure water is essential for several uses, including as an excipient and equipment cleaner. Understanding the various classes of purified water and their intended uses is the first step in understanding the complicated and dynamic topic of water purification systems in the pharmaceutical industry. In addition to differing as products, the methods for obtaining the water required for cleaning equipment and for preparing water for injection also frequently vary based on the size of the manufacturing units.

3.2.1 Pre- Treatment

Depending on the final application, water purification systems in the pharmaceutical industry can vary, but the process normally begins with feed water treatment. Natural resource water is contaminated and full of pollutants. It also varies seasonally in terms of turbidity, flow, and chemical, all of which can negatively impact the final product. In order to ensure that the feed water is

ultimately safe to be put into the purified water production process, several chemical compounds are added during the preparatory stages of chlorination, softening, and dosing in every water purification process used in the pharmaceutical sector.

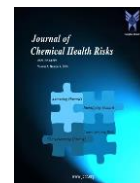
3.2.2 Different Water Purification Systems

The process of purifying water involves taking out unwanted chemicals, biological impurities, suspended solids, and gasses. Producing water suitable for certain uses is the aim. The majority of the time, water is cleaned and disinfected before being used for drinking, however, there are other uses for which water needs to be purified, such as pharmaceutical, chemical, and industrial uses. Purification can be carried out by using various methods.

i. Distillation: The volatility (difference in vapor pressures) of the water and impurities suspended in it are used in distillation. The water is brought to a boil in a specially designed multi-column distillation facility, and the vapors are then condensed to produce pure and sterile water. One of the main uses for the refined water that is created during the distillation process is Water for Injection (WFI). This particular kind of medicinal water is appropriate for administering medications or therapies straight into patients' bloodstreams.

ii. Reverse Osmosis: Reverse osmosis, or RO, is widely used in the pharmaceutical industry and other fields where water is an essential resource and raw material. It is widely recognized as one of the most effective methods of purifying water. In reverse osmosis (RO), a high-pressure pump drives water through a semi-permeable membrane, trapping microorganisms and allowing only the "clean" water to pass through, eliminating impurities. Salts, sugars, colors, bacteria, other particles, microbes, trihalomethanes, pesticides, and even volatile chemical compounds can all be effectively removed via reverse osmosis. It cannot, however, remove the dissolved gases, such as carbon dioxide, from the water. A common system for the production of purified water, recently, there has been an increase in pharma operations accepting RO as a viable process to generate Water for Injection (WFI) as well.

iii. Electric De-Ionization (EDI): Anode (-ve charge) and cathode (+ve charge) are utilized in this process. Anions are drawn to the anode and cations are drawn to the cathode when electricity is transmitted through the water.



De-ionized water is the process's end product. When it comes to eliminating dissolved particles from water, including salts, minerals, and organic pollutants, EDI is incredibly effective. Although many consider it to be among the most effective, many in the industry prefer the economical purifying methods.

iv. UV Filtration: UV disinfection is a rapid and inexpensive water treatment technique. This process involves exposing the water to specific wavelengths of UV light from a UV lamp, which destroys pathogens in the water such as bacteria, viruses, algae, molds, and so on. Aside from medical product manufacture, one of the main applications of UV disinfection is Cleaning in Place (CIP), a process used in the pharmaceutical industry to clean tanks, machinery, pipelines, filters, and other accessories used in regular production processes.

v. Boiling the Water: Water purification by boiling the water is a time-tested and proven technique that has been used since the beginning of time. Although it is a simple operation, it is not very efficient. Boiling the water won't remove the non-organic pollutants that are typically present in the feed water; it will only partially denature water-borne pathogens. Owing to its drawbacks, the procedure is only used in conjunction with other, more effective techniques like RO or EDI. [8]

3.2.3 Microbial Growth in Water

Microbes are organisms that are too small to be seen without using a microscope, so they include things like bacteria, archaea, and single-cell eukaryotes- cells that have a nucleus, like an amoeba or a paramecium. Sometimes we call viruses microbes too. Microbial growth in water used in the pharmaceutical industry can be attributed to various factors. Identifying and understanding these causes is crucial for implementing effective control measures. Some common causes of microbial growth in pharmaceutical water systems include:

1. Contaminated Feed Water: The water supply used in pharmaceutical manufacturing, often known as feed water, could be tainted with microbes. Microorganisms can enter the water system by inadequate pre-treatment of raw water, such as inadequate filtration or purification procedures.

2. Biofilm Formation: In water systems, biofilms are microscopic coatings of microorganisms that stick to

surfaces. Once formed, biofilms offer a safe haven for microbial development, making it difficult to eradicate them with regular sanitization and washing. On the inside surfaces of tanks, pipes, and other water distribution system parts, biofilms can grow.

3. Inadequate Sanitization: Microorganisms in the water system may survive as a result of improper or insufficient sanitization techniques. Microbial contamination can be caused by inadequate contact time, incorrect sanitizing agent concentrations, or inefficient sanitization techniques.

4. System Design Flaws: Water stagnation can happen in dead legs (areas with little or minimal flow) of poorly built water distribution systems. A microbial growth-friendly environment is created by stagnant water. Ineffective cleaning and sanitization might also be hampered by dead legs and badly made components.

5. Temperature Control: Microbial growth may be promoted by high temperatures. Microorganisms may proliferate in an environment that is conducive to their growth if the water system is not appropriately structured to regulate temperature or if there are variations outside of permitted bounds.

6. Contamination during Maintenance or Repairs: Improper execution of any water system maintenance or repair work could result in the introduction of pollutants. Molecule infiltration may occur as a result of system components being opened or serviced, which exposes the water to the outside.

7. Ingress of Airborne Contaminants: Vents, openings, and other system breaches are potential entry points for airborne microorganisms into the water system. Water can get contaminated when it is being stored, transferred, or distributed.

8. Poorly Trained Personnel: Defects in aseptic procedures and hygiene standards may arise from inadequate training of those in charge of operating and maintaining the water system. To avoid microbiological contamination, standard operating procedures (SOPs) must be strictly followed.

9. Lack of Regular Monitoring and Testing: Microorganisms might proliferate unnoticed if routine microbiological testing and monitoring are not carried out. Frequent testing assists in detecting departures from



specified microbiological limits and initiates remedial measures.

10. Use of Contaminated Equipment: Equipment used in the water system, such as hoses, valves, or storage tanks, can become sources of contamination if not properly cleaned, sanitized, or maintained. [9]

3.2.4 Sampling of Water for Microbial Detection

It is crucial to keep water pure and sterile in the pharmaceutical sector. Microbiological contamination of the water used in production can affect the safety of patients as well as the quality of the product. For this reason, it is essential to use efficient sampling techniques for microbiological detection in pharmaceutical water systems.

A. Raw water/Purified water/De Mineralized water:

- Collect the sample using a sterile sampling bottle in accordance with the sampling plan.
- Use 70% filtered IPA to clean the sample points' surfaces that are not inside the main manufacturing area. User points within the core manufacturing area, which are used as sampling sites, must not be cleaned with 70% filtered IPA prior to sampling. Before the sample vial is sterilized, add 0.3 mL of 10% thio sulphate to the raw water sampling bottle.
- Pour out the water for a minimum of five minutes.
- Handle the sampling bottle close to the sampling point's nozzle when sampling, being careful to prevent the sampling point's nozzle from touching the bottle's surface.
- Collect roughly two 100 ml samples and place them in a sterile glass container, being careful not to contact the bottle's neck or the interior of the stopper or cap.
- Before examining, allow enough room in the bottle for shaking to aid in mixing.
- Collect the sample and shut the stopper or lid right away.
- Label the container with the information listed below, including the sample's identification, sampling site, date, time, and who sampled it.
- Submit the sample to the microbiology lab so it can be evaluated.

- Analyze the sample within 2 hours from collection, alternatively keep the sample in the refrigerator at 2° – 8°C and analyze within 4 hours. (For Purified water or WFI allow the sample to cool to room temperature before analyzing).

B. Water for Injection:

- As specified by the sampling plan, collect the sample in a sterile sampling bottle.
- Use 70% filtered IPA to clean the surface of the sample sites that are not inside the main manufacturing area. User points within the core manufacturing area, which are used as sampling sites, must not be cleaned with 70% filtered IPA prior to sampling.
- Let the water drain for a minimum of 60 seconds.
- Handle the sampling bottle close to the sampling point's nozzle when sampling, being careful to prevent the sampling point's nozzle from touching the bottle's surface.
- Collect about 1 x 300 ml sample in a sterile glass sampling bottle taking care not to touch the inside surface of the cap/stopper or neck of the bottle. Immediately close the stopper/ cap of the bottle and seal the top of the sample bottle. Collect 1 x 100 ml for pathogen testing.
- Label the container with the information listed below, including the sample's identification, sampling site, date, time, and who sampled it.
- Submit the sample to the microbiology lab so it can be evaluated.
- Analyze the sample within 2 hours from collection, alternatively keep the sample in the refrigerator at 2° – 8°C and analyze within 4 hours. (For Water for Injection allow the sample to come to ambient temperature before analyzing). [10]

Water Sample Label

Name of the sample :	
Sampling Point No. :	
Date of sampling :	
Time of Sampling :	
Sampled for :	
Sampling Done By :	
Sign and Date :	
Format No.:	



3.2.5 Methods of Analysis for Microbial Detection

Discovering microorganisms in water is best done through microbiological water testing. Regular microbiological testing of water supplies in accordance with international standards is crucial to avoiding any risks and ensuring the prevention of illnesses.

i. Coliform and indicator organisms

Coliform is a group of gram-negative bacteria that can ferment lactose and produce gas within 48 hours at either 35°C or 44/44.5°C. It is one of the best standards for easy isolation, detection, and enumeration for microbial water testing. Coliform is always present in water when enteric pathogens or viruses are detected in it. To confirm faecal coliforms, further testing is always necessary in cases with a high "total coliform" count. The faecal coliforms include *Escherichia*, *Enterobacter*, and *Klebsiella*; *Citrobacter* and *Serratia* are only present in plants and soil. Faecal contamination is confirmed by the presence of enterococci and streptococci in the faeces.

ii. Rapid Methods

To identify coliforms, the IDEXX Colilert employs a colorimetric ONPG (ortho-Nitrophenyl- β -galactoside) test, while for *E. coli*, it uses a fluorescence MUG assay. Depending on the product, Colilert can concurrently identify these microorganisms in 18–24 hours. Moreover, 2 million heterotrophic bacteria per 100 mL of solution can be suppressed by it. A patented nutritional indicator called Defined Substrate Technology (DST) is used by IDEXX's Enterolert Test to identify enterococci.

iii. Membrane filtration

The membrane filtering technique can easily handle big amounts of water and only needs a small amount of incubator space. When microbiologically analyzing water, it is one of the most used ways to find indicator organisms. A sterile membrane filter with a small pore size is used in this method to keep bacterial cells in a fixed volume of water. Once an absorbent pad or agar plate surface has been wet with an appropriate selective medium, the filter is aseptically transferred to it and allowed to incubate. To conduct a direct examination, colonies are permitted to grow on the filter's surface.

iv. Culture media

For coliforms and *E. coli*, membrane lauryl sulphate broth or agar are suggested media. Enterococci can be detected and counted using membrane-enterococcus agar (mEA) and membrane-enterococcus indoxyl- β -D-glucoside agar (mEI), while *Clostridium perfringens* can be cultured on membrane filters using tryptose sulphite cycloserine agar without egg yolk. Suspect colonies developing on filters placed on selective media can then be identified by additional culturing or biochemical testing. In water microbiology, chromogenic and fluorogenic media are reportedly utilized.

v. Traditional culture

Although the conventional culture method is still employed to count heterotrophic bacteria, the "most probable number" (MPN) approach came into being as a result of the limitations of the pour and spread plate count method. Using this procedure, a series of tubes holding differential are filled with measured volumes of water sample. A change in color in the media indicates growth, and the distribution of positive tubes yields the result. Commercial test kits based on MPN approaches are available for coliforms and enterococci; nevertheless, this method is limited to occasional testing conducted in small laboratories due to a number of drawbacks and limitations.

vi. Legionella in cooling towers

Legionella pneumophila is an organism that can survive inside the cells of parasites and has a greater tolerance for chlorine. Cooling towers offer ideal growing conditions for *L. pneumophila*, but they also serve as an excellent means of dispersing the bacteria into the atmosphere through droplets, which can cause serious illness. [11,12]

3.3 Compressed air

Air held at a pressure higher than atmospheric pressure is referred to as compressed air. It is a frequently utilized and adaptable utility in many different industrial applications, including as construction, manufacturing, and medicines. Reducing air volume and raising pressure is the process of compressing it. Strict hygienic requirements are outlined for production settings while producing pharmaceuticals, especially in clean room settings. Thus, it is crucial that the production process occurs in an atmosphere devoid of bacteria, germs, particulates, and polluting oils. Because compressed air frequently comes into close touch with the product



throughout the pharmaceutical industry's production operations, it is likewise subject to strict regulations. For example, compressed air needs to be oil-free or sterile depending on its use. For compressed air with product contact, continuous monitoring (24/7/365) is required to fulfill the evidence obligation, e.g. for auditors.

Compressed air plays a vital role in various applications within the pharmaceutical industry, contributing to the efficiency, safety, and quality of manufacturing processes. Some key applications of compressed air in the pharmaceutical industry include:

i. Tableting

During the tablet-making process, pressurized air is necessary, and it frequently comes into touch with the finished result. After the tablet press, when compressed air is used to clear dust particles or sort out faulty items, there is direct product contact. Here, dry, oil-free air is essential to prevent things like the pressed tablets from swelling. In order to facilitate the easier ejection of the tablets from the tablet press, lubricants can also be applied with compressed air. In the beginning, compressed air is already used for the manufacturing of the granules for the tablet press and/or for mixing the powder mixture. Compressed air is also used in the steps that come following the presses, such as coating or encapsulating. The fluidized bed process is a popular technique that uses airflow to keep the tablets hovering and moving all the time. Spray nozzles are used to spray, uniformly moisten, and dry them. Compressed air is used as atomizing air here and in subsequent operations; as such, it is regarded as a process aid, and as such, its purity must meet strict standards. In addition to the potential health dangers for the user, common issues seen during tablet manufacturing include blistering, breaks, cracks, and variances in color. Compressed air tainted with oil and moisture may be the cause of this.

ii. Cleaning and Drying

Cleaning is not limited to the equipment; extra care must also be taken with the vials, ampoules, and bottles that hold the medication. For the purpose of cleaning systems, containers, and plants, the Clean In Place (CIP) cleaning procedure has been developed. Here, drying is done using compressed air. Another way to clean is with dry ice blasting. Dry ice makes contact with the surface that needs to be cleaned, dislodging deposits. Next,

pressurized air is used to blast the deposits that have been released. Throughout the manufacturing process, the fluidized bed plants' filter has to be cleaned. A filter blow-out system can be used to carry this out. Therefore, compressed air will be used to transport material leftovers back into the process. Additionally, there is a direct product contact here, meaning that the compressed air quality must meet strict standards. Cleaning vials, bottles, and ampoules is another usage for compressed air; in this instance, it's also utilized to dry the containers and get rid of any last particles. This frequently happens in the clean room.

iii. Conveying

In the pharmaceutical sector, compressed air is frequently used to move essential materials, such as bulk solids, liquids, powders, and granules, for use in the production process. Pneumatic conveying can be carried out in a vacuum or with compressed air. Compressed air is created during conveying under pressure and directed toward a mixing head. It takes up the powder there and transports it to the needed location. The materials being conveyed come into direct touch with compressed air during this kind of pneumatic conveying. This process is employed for dispersing materials during production as well as emptying silo vehicles. Compressed air is also used to move the final goods, like pills and capsules, back and forth between the various processing units. Compressed air transportation keeps pharmaceuticals safe from harm, but consistent compressed air quality is necessary. In accordance with DIN ISO 8573-1, the German VDMA recommends a compressed air quality of sterile 1: 3: 1 for transporting raw materials and finished products.

iv. Packaging

Compressed air is used on packaging plants and systems e.g. for transporting products and packaging or for packing the product and for sealing the packaging. A controlled atmosphere will be created around the product with highly hygroscopic (water attracting) products, such as e.g. pharmaceuticals. Very dry compressed air must be present (inflating bags etc.), especially at packing positions when packaging pharmaceuticals. There is often centralized compressed air processing, therefore the compressed air must be transported to the application location. On this path, it's possible that water is added to the compressed air once again. Materials that are



hygroscopic interact with the moisture in the surrounding air. Preventing the product from coming into contact with moisture is necessary to guarantee its quality. This means that an additional compressed-air drying on the packaging machine is necessary. A sterile atmosphere is especially necessary if active substances are packed and sealed in vials and ampoules.

v. Regulating valve and cylinder

In the pharmaceutical sector, compressed air is used as the control air to adjust production facilities and systems, such as via a cylinder and regulating valve. Since the control air does not come into direct touch with the product, lower standards usually apply. But there are several exceptions: In clean rooms, there are certain criteria for the control air. This holds true even if the process could be impacted by the control air. Failures of pneumatic tools and equipment, corrosion in pipework, cylinders, and other components, as well as freezing in exposed services during cold weather, can result from compressed air that does not meet specifications. This creates a threat of increased downtimes as well as maintenance costs for pneumatic machines, tools and control systems. The correct compressed air processing and treatment as well as periodic monitoring and maintenance is therefore essential.

vi. Cleanroom

The production of medicinal products takes place partly in clean rooms, and some of the production steps there require compressed air. Compressed air can be used in all cleanroom classes. It can occur as an energy carrier in motors and pumps, for example. In these cases, the compressed air used does not come into contact with the product, but it must comply with the quality of the ambient air into which it is released. In other words: the compressed air should correspond at least to the air quality of the respective clean room class into which it is released. The requirements are worded in quite concrete terms in the "FDA Guidance for Industry: Sterile Drug Products Produced by Aseptic Processing - Current Good Manufacturing Practice". In the case of clean room applications, compressed air is used for drying the washed primary vessels, before filling with the product as well as for filling liquids in accordance with the blow-fill-seal method. It is important that no germs and particles are contained in the compressed air. [13]

3.3.1 Microbial Growth in the Compressed Air System

In an inadequately handled or untreated compressed air system, microorganisms can survive in the warm, moist air. By the air receiver and distribution tubes, they store and disperse their ever-increasing growth. For many important uses, sterile conditions or at least some control over the growth of microbes are required. Items that come into direct or indirect touch with compressed air, such as packaging materials, machinery, or instruments, are liable to become contaminated.

Microbial contamination from compressed air can:

- Potentially harm the consumer
- Diminish product quality, rendering a product unit for use
- Lead to a product recall
- Cause legal action against a company
- Damage a manufacturer's brand

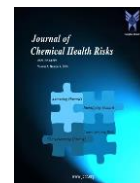
3.3.2 Sampling of Compressed Air for Microbial Detection

Compressed air microbial detection uses the same sampling techniques as manufacturing airspace. Use the proper method for detection and adhere to 3.1.3, "Air Sampling of Manufacturing Cleanroom for Microbial Detection," as mentioned above while carrying out the sampling.

3.3.3 How Can Prevent the Growth of Microorganisms in a Compressor System?

It's not enough to only understand that microorganisms can grow in compressed air; we need to have a plan to not only treat the existing microorganism contamination but to reduce/prevent additional growth. Here are some actions that we can take to inhibit their growth:

- i. Avoid Moisture: Two pieces of equipment that aid in maintaining the dryness of your compressed air are air dryers and aftercoolers. The purpose of dryers, which come in integrated and freestanding forms, is to eliminate moisture from compressed air. Desiccant dryers can dry the air to an extremely dry level, which may be more successful in stopping the growth of microbes. Refrigerated dryers can be used, depending on the purity requirements of the application. Similar in operation,



aftercoolers are installed directly behind the compressor to eliminate moisture that may otherwise seep into the system.

ii. **Filtration:** Utilize a lot of filters when installing the compressor. Because compressor filters might provide favorable conditions for the growth of microorganisms, it's critical to maintain and replace them on a regular basis. Pro tip: Since microorganisms are invisible to human sight, it is not appropriate to rely solely on visual filter inspections to determine when to change filters. Rather, swap these out at scheduled intervals.

iii. **Test Compressed Air:** Do you want to confirm that the compressed air is clean? Make sure to periodically check it for the presence of bacteria, mold, and fungi, among other microorganisms. Since there are several tests available, it is advisable to speak with a reliable compressed air specialist to determine which is most appropriate for your business.

iv. **Treat Compressed Air Leaks:** There are leaks in every compressed air installation. These are prime locations for impurities to enter and begin cycling throughout your compressor system, in addition to the fact that they can significantly increase the company's energy expenses. Resolving leaks improves the system's energy efficiency while reducing the number of places pollutants can enter.

v. **Compressor Environment:** Although it may not always be feasible, attempt to position the compressor in a dry, cool area. This will assist in preventing the formation of those pesky microbes. [14]

4. Regulatory Need for Microbial Detection

To ensure product safety, quality, and regulatory compliance, the pharmaceutical sector must comply with regulations requiring microbiological detection from a variety of sources. The FDA and other regulatory agencies stress the significance of microbial control in preventing contamination that could jeopardize the safety and effectiveness of pharmaceutical goods. Microbial detection is necessary to identify and reduce potential dangers related to microbial contamination at various phases of pharmaceutical manufacture, such as raw materials, production processes, and final products.

Important regulatory requirements for microbiological detection in the pharmaceutical sector include:

i. **Compliance with Good Manufacturing Practices (GMP):** Strong microbiological control procedures must be implemented in accordance with GMP rules in order to prevent contamination and protect the quality and safety of products.

ii. **Environmental Monitoring:** In order to ensure regulatory compliance and detect and control microbiological contamination in manufacturing plants, regular environmental monitoring is required.

iii. **Product Sterility:** Microbial detection is essential for confirming the sterility of pharmaceuticals, particularly vaccines, in order to protect patients and comply with regulations.

iv. **Quality Control:** To ensure product safety, integrity, and regulatory compliance, microbial identification and monitoring are crucial parts of quality control procedures.

v. **Contamination Investigation:** Microbial detection is necessary in contamination incidents to locate the source of contamination, carry out root cause analysis, and stop such incidents from occurring again.

vi. **Rapid Identification Methods:** Rapid microbial identification techniques, such as MALDI-TOF MS, must be used in order to identify and detect microorganisms in a timely manner, guarantee product safety, and adhere to regulatory requirements.

Pharmaceutical companies can maintain the quality, safety, and efficacy of their products and demonstrate compliance with regulatory standards to protect patient welfare and public health by addressing these regulatory needs for microbial detection. [15]

5. Conclusion

Microbial contamination poses a significant threat to pharmaceutical product safety and efficacy. This review explored various sampling methods for detecting microbes from diverse sources within the pharmaceutical landscape. We discussed the advantages and limitations of direct plating, swabbing, air sampling, and aseptic sampling techniques. By understanding these methods and their suitability for different environments, researchers can implement a robust sampling strategy.



This, coupled with appropriate detection techniques, is crucial for unveiling microbial contamination and ensuring the quality and sterility of pharmaceutical products.

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7. Conflict of interest

The authors declare no potential conflicts of interest to the respect to the review research, authorship and/or publication of this article.

8. Ethical approval

The work does not need any ethical approval.

9. Data availability

All the data pertaining to the manuscript has been provided in the manuscript.

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