



## Current Aspects of Design, Optimization, Quality Control Sterilization, and Packaging of Medical Devices

Dhatri T N, Gowtham A, Haridhar K, Chandan C, Jeyaprakash M R\*.

Department of Pharmaceutical Analysis, JSS College of Pharmacy, JSS Academy of Higher Education & Research, Ooty, Nilgiris, Tamil Nadu, India.

Dr. Jeyaprakash M R\*

Mailing address: Department of Pharmaceutical Analysis, JSS College of Pharmacy, Ooty, The Nilgiris-643001, Tamil Nadu, India.

Mobile: +91 99523 35392

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### ABSTRACT:

Medical devices (MD) play a crucial role in healthcare and they are indispensable assets in healthcare, facilitating precise diagnostics and timely treatments. MD design is a challenging procedure that requires knowledge of healthcare laws and regulations. These are sterilized to prevent contamination. To guarantee the safety and effectiveness of the product, Container Closure Integrity and Extractables & Leachable testing is carried out at the final phases of MD packaging development. This review article has discussed the importance of the design of MDs, a well-functioning MD's Quality Management System should include Design Control measures, a quality control process, and the steps to assess the reliability of MDs by following these guidelines, manufacturers can help to ensure the safety and effectiveness of their products, sterilization, and packaging of MDs. The intricate interplay between these elements within the healthcare industry underscores their paramount importance, as we navigate to the era of rapid technological advancements and growing demands for safer and more effective MDs, a comprehensive understanding of these topics becomes increasingly vital. To ensure the continued improvement of patient safety, optimization of healthcare services, and seamless compliance with the regulatory standards, stakeholders must prioritize harmonizing quality management, Design Control, and reliability assessment. As we move forward, it is clear that collaborative efforts among manufacturers, healthcare professionals, regulatory bodies, and researchers will be indispensable in shaping the future of MD innovation, quality, and reliability. This review serves as a foundation upon which the quality and safety of MD benefit patients worldwide.

### 1. Introduction

A medical device (MD) can be defined as “any instrument, apparatus, implement, machine, appliance, implant, reagent for in vitro use, software, material or another similar or related article, intended by the manufacturer to be used alone or in combination for a medical purpose” [1] Medical devices play a crucial role in healthcare, contributing significantly to patient care, diagnosis, treatment, and overall medical advancements [2], MDs serve as indispensable assets in healthcare, facilitating precise diagnostics and timely treatments, They significantly contribute to elevating patient outcomes and well-being, critical care scenarios, for instance, rely heavily on devices like ventilators,

defibrillators, and infusion pumps [3], Diagnostic instruments, such as MRI scanners, and X-ray apparatus in the early detection and continuous monitoring of medical conditions. This early detection aids in initiating more effective treatment strategies and improving patient conditions [4], MD-like endoscopic tools, laparoscopic equipment, and robotic-assisted surgical systems have revolutionized healthcare by enabling minimally invasive patient procedures, These procedures reduce trauma, and they also accelerate the recovery times thereby lowering the risk associated with traditional surgeries [5], Rehabilitation like MDs are used to help people recover from injuries or illness, for example, physical therapy devices, prosthetic limbs, and



wheelchairs are all used to help patients rehabilitate [6] and connected MDs can be used to collect and analyze data about patient's health, This data plays a vital role in improving the health of patients and identifying the treatment [7].

The above-mentioned are a few utilizations of MDs whereas there are wide range of applications. Any MDs that have been given the FDA Centre for Devices and Radiological Health's approval are categorized into one of three classes, class I, class II, and class III, depending on how risky, intrusive, and potentially harmful it is to patients' health. Class I devices often have the lowest risk to the patient, whereas class III devices generally present the highest risk. The FDA has categorized more than 1700 different types of MDs which are arranged in the CFR into 16 specialties, The first stage in determining if an MD is class I, II, or III is classifying it in accordance with one of these specializations as this classification is based on the type of perceived danger [8].

MD design is a challenging procedure that calls for in-depth knowledge of healthcare laws, patient requirements, and engineering proficiency [9], Initial concept, product design, prototyping, device testing, design verification and validation, and MD manufacturing are just a few of the steps that make up the design process [10]. The purpose of the design process is to guarantee that a new product lives up to user expectations, is secure, and delivers the advantages it promises. MD design is changing to adapt to patients, healthcare, and industry's changing demands, MD designers may help to improve healthcare outcomes and improve the overall patient experience by adopting developing technology, taking ESG considerations into account, and giving priority to patient-centered design [11].

A technique or series of procedures known as quality control (QC) is designed to make sure that a manufactured medical device satisfies the necessary standards. QC a reactive manufacturing stage, usually takes place at the conclusion of production before the medical devices are marketed. To ensure that facility outputs adhere to predetermined criteria QC workers evaluate each product's individuality or in batches and check for flaws [12]. These tests are important for ensuring that MDs meet the required standards of quality, identifying the defects and problems early on so that they can be corrected before they reach the market, This helps

in improving customer satisfaction, reducing costs, and preventing liability. Therefore, some of the benefits of QC are enhanced client satisfaction, decreased costs, avoidance of legal responsibility, enhanced productivity, reputation, and Improved efficiency [13].

To stop the spread of infectious germs to patients sterilizing the MDs is an essential step. The sterilization and disinfection process depends on the MD's intended usage, with crucial components requiring more stringent procedures [14]. To limit the number of germs on MDs cleaning, disinfection, and sterilization are the primary objectives, to prevent damage and achieve a 6-log reduction in the microbial load, the sterilization technique used must be compatible with the item to be sterilized [15].

MD packaging is essential for ensuring that products are distributed in a safe and secure manner during their shelf life. Protection and communication with the environment, humans, and vice versa are provided by packaging [16]. An MD has to be protected by maintaining the integrity of the packaging typically, it needs suitable packaging to prevent physical damage, biological contamination, and other external disturbances. The other reason is to correctly identify the MD through labelling. MD packaging techniques and materials come in a wide variety, main packaging refers to the material that has direct touch with the MD, while secondary packing which might be a paper or cardboard box refers to the substance that has contact with the main packing. When validating medical device packaging, there is no set rule to follow [17].

## 2. Design Of Medical Devices

The current approaches of MDs are concentrated on enhancing patient experience, expanding accessibility, and tackling healthcare issues, and some of the significant ideas for improving the MD design are the adoption of artificial intelligence(AI) and emerging technologies like the Internet of Things (IoT) and AI are enabling the creation of intelligent and encompassing medical services[18] This technology can assist provide individualized treatment, real-time patient health monitoring, and increased healthcare efficiency[19] cloud computing and medical 4.0, a recent development in the healthcare industry makes use of these technologies to improve the functionality and applications of healthcare systems[20]cloud based solutions can enable secure storage and analysis of large



health data, leading to more accurate diagnosis and personalized treatments, devices that are smaller and smarter new developments in materials, manufacturing methods, sensors and other new technologies are enabling the creation of MDs that are smaller and smarter day by day, these devices may be more portable and user friendly and able to offer data analysis and feedback in real time[21], In the MD design point-of-care-testing (POCT) devices which enable quick and on-site diagnostic testing, are gaining popularity by providing quicker and more precise diagnoses, especially in distant or resource constrained situations, these technologies can contribute to better patient outcomes[22]. Virtual and augmented reality (AR/VR) technologies are being investigated for their potential to enhance patient outcomes and healthcare delivery [23] these technologies can help in surgical operations, training for healthcare personnel, and giving patients immersive therapy, however, patients safety and regulatory constraints should not be taken into account while designing and developing AR/VR medical equipment and environmental, social and governance (ESG) issues like growing emphasis on sustainability and social impact on MD design as designers are urged to take the needs of patients and healthcare professionals into account when planning the lifetime of their products from material sourcing through end-of-life disposal.

### 3. Quality Management System for Medical Devices

A quality management system (QMS) for MDs serves as a road map for producing reliable MDs and efficient operations, It is a thorough collection of guidelines and criteria that outlines fundamental objectives, restrictions, and record keeping, with routine procedures for review, audits, and measurement a QMS should promote continual improvement [24] A producer of MDs must put in place an MD QMS to make sure that their goods are secure and efficient for the purposes for which they were created. All facets of the lifespan of MDs are covered by the QMS, including design and development, production, supplier management, risk management, complaint handling, clinical data, storage, distribution, and product labeling [25]. Due to the fact that it enables them to satisfy both consumer and regulatory obligations, having a compliant QMS is a regulatory necessity for MD firms. The most widely used regulatory standard for QMS for MDs is ISO 13485. It is based on ISO 9001 but includes particular criteria for makers of MD such as a stronger emphasis on risk management and more documentation

requirements, ISO 13485 highlights the significance of QMS effectiveness and fulfilling customer and regulatory needs, ISO 13485 emphasizes the need to document customer feedback as well as monitoring and assessing product performance to assure product quality [26].

Document control, training management, audit management, and CAPA management are some of the primary criteria for MD quality management. Quality objectives, a quality manual, data management, organizational structure and processes, and continuous improvement are all crucial components of a QMS for medical devices. A QMS's main goal is to continuously fulfill consumer and regulatory requirements while also raising the quality of medical devices and related services [27]. A well-designed QMS may help an MD firm by enhancing and preserving the quality of their MDs and services, as well as encouraging uniformity in the regulation of devices and the prompt introduction of high-quality, safe, and effective MDs for patients [28].

Management accountability, quality audits, and personnel are the three main regulatory requirements for MDs QMS, Management Responsibility includes creating a quality policy and shared by the executive management, quality goals should be supported by the organizational structure and job descriptions, and the right number of high-quality resources must be set aside by leaders for training, audits, and evaluations, the company can appoint a senior executive to oversee the quality system and QMS evaluations and Quality audits includes establishing a policy for impartial quality audits, and utilize the findings to motivate remedial action, Audit activities and findings have to be documented and Sufficient personnel have to be hired and provide them with the right training and inform workers about how their work affects product quality and they are also required to implement and uphold document management methods as well as specific guidelines for document dissemination, approval, and updates, access controls and document approval[26].

To avoid quality problems brought on by product mix-ups, every stage of the device lifecycle should be covered by a QMS policy requiring distinct product identification. Additionally, sometimes, such as surgical implants and devices that might cause significant harm if used improperly, are required to have unique identities and unsatisfactory product device makers require



processes to manage goods that don't meet quality requirements review, disposition, and rework of nonconformities had to be carried out, and to investigate the core of nonconformities corrective actions and preventive actions (CAPA) procedures in the QMS must be used to identify quality concerns throughout the QMS and device lifetime, including problems with processes, operations, records, and product returns. To assess the likelihood of reoccurring quality issues, statistical approaches should be employed wherever applicable [27].

A clear servicing strategy must be in place whenever servicing is necessary. This policy must include statistical techniques for analysing service reports and the development of complaint processes when events deviate from set standards of quality. To direct efficient service documentation, SOPs have to be developed. At a bare minimum, service reports must contain what was serviced, if appropriate, Unique Device Identifiers (UDIs), date of service, and Inspection and test results [28].

#### 4. Design Controls [Dc]Measures

For a systematic design and development process for MDs, the FDA mandates techniques known as "design controls." To guarantee the MD is reliable and safe when it is released onto the market certain checks are in place, and Compliance with DC is necessary. DC assists companies in achieving the five important goals, Delivering high-quality goods, Ensuring user protection, Maintaining adherence to regulations, Keep expenses low, and Shortened time to market [29]

DC is necessary to raise the caliber of products and assist businesses in avoiding production flaws that might result in recalls or other serious problems. Complete compliance with the rules can stop recalls and other regulatory enforcement proceedings. One procedure that has to be included in the QMS is DC. It connects those elements with extra development-specific processes, and your QMS should also have procedures in place to handle papers, records, and suppliers. Beyond making and testing an MD, the design and development process is governed by DC. A few of the QMS procedures that directly influence DCs include document creation, checking that suppliers are suitably qualified for their position in the manufacturing process, and record-keeping [30]. The QMS will still be required for continuing compliance once the original design has been

finished and released to the market. The processing of complaints, the observation of nonconformances, and risk management are among the quality system reporting requirements set forth by regulators for the device.

The aspects listed below provide an overarching strategy of combined FDA/ISO standards, mostly utilizing FDA nomenclature. An auditor carefully considers each DC element, and those particular concerns are recorded at the end of each of the next DC elements like Configure QMS processes for the planning of document development, Making use of risk management, Complete Design Reviews, Deciding on user needs and design inputs, Define the design outputs, Do design validation and verification of the design, Transmission of Document Design and Finishing up design changes and the design history file (DHF) [31]. .

#### 5. Quality Control Process

QC teams in the production of MDs employ a range of techniques and indicators to identify nonconforming products. Any QC strategy should concentrate on three things mainly Acceptance criteria, According to the FDA QC personnel must create a written document outlining their process for determining whether items meet their requirements. Additionally, members of the QC team are in charge of keeping track of the acceptance documentation and identifying which batches or goods satisfied the need and the ones did not. Product testing specifies a product's readiness for transportation is to be checked, tested, and verified by QC personnel. MD makers are permitted to create their own QC tests by the FDA's quality system regulations, but the FDA demands thorough documentation to back up the validity of this verification, and When QC personnel identify a nonconforming product they should conduct a root cause analysis, and identify a systemic fault as the source of the nonconformance, these investigation events are set off in Corrective Action and preventive actions [32].

The outcomes of defective MD can be catastrophic, Without the appropriate QC procedure in place, a worst-case scenario, albeit uncommon, might quickly occur, such as, Patients who use an implanted insulin pump are at risk of developing diabetic shock because the pump fails to provide the right amount of glucose [33], A chemical leak in a spinal surgical instrument might result in paralysis [34] and An electrical component failure prevents a defibrillator from delivering a life-saving charge [35]. These are all actual instances of medical





equipment that were recalled as a result of subpar QC procedures. However, there are business-level requirements for the QC process that go beyond the significance of safeguarding users. Any QMS must include QC, according to the international standard ISO 13485:2016, Under the EU's MD Regulation and all regulatory organizations, including the FDA, QMS is mandated. The actions taken in QC are frequently more obvious, such as on-site examinations of physical objects when evaluating QC, MD company should establish a QMS plan, Work with product developers to get insights on QC, Describe the acceptance criteria in detail, and make complete documentation.

## 6. MD Reliability Assessment

One of the key steps in determining the quality of MDs is MD reliability assessment, and there are eight different qualities that affect how reliable medical equipment is those are equipment attributes, function, maintenance requirements, performance, safety, risk, and available and prepared utilization charge [36] and Some of the steps involved MDs reliability assessment are described below in fig.1



Figure. 1 steps involved in the MD reliability assessment

Identifying the device that needs to be analyzed is the first stage in the reliability evaluation of an MD, this might be any kind of medical apparatus, including an implant, a diagnostic gadget, or a monitoring apparatus. The device's intended purpose, categorization, and any rules or standards that may be relevant are all determined during the identification process and defining the device's dependability criteria, in order for the device to satisfy the required performance criteria and the level of dependability must be specified, the reliability requirements are defined on the device's intended to use the risk associated with its use, and any applicable regulations [37]. Determining the reliability parameters is the second step, these factors could be MD design, components, production method, and working atmosphere, In order to identify probable failure modes and their causes a risk analysis must be done before setting reliability requirements [38]. Reliability testing is the following stage once the reliability specifications have been established. To do this many tests may be done on the MDs to evaluate their dependability in distinct

scenarios accelerated life testing is a common testing method that entails putting the MD through conditions that replicate its intended use over a long period of time [39], The MD's dependability is assessed using the test findings once the reliability testing is finished. In order to calculate the MD's failure rate, mean time between the failures and reliability measures, statistical analysis of the test data is required and the evaluation of test findings enables the detection of any manufacturing or design flaws that can compromise the dependability of the device [40]. The MD's design may need to be improved based on the test findings to increase dependability. This might entail alterations to the MD's components, manufacturing procedure, or operational setting, performing a risk analysis to identify the possible failure modes and their causes as well as creating mitigation measures to address them are normal steps in the design improvement process [41]. After the MD is put into use, its performance has to be watched to make sure it keeps up the appropriate levels of reliability. This entails continuous evaluation and evaluation of the MD performance information. The monitoring procedure aids in finding any problems that may have an impact on the MD's dependability and enables prompt corrective actions to be taken [42]. These steps are essential to ensure that MDs are reliable and meet the desired performance standards

## 7. Sterilization Of Medical Devices.

There are many ways to sterilize the MDs including moist heat, dry heat, radiation, ethylene oxide gas, vaporized hydrogen peroxide, and additional sterilization techniques including chlorine dioxide gas, vaporized peracetic acid, and nitrogen dioxide [43] manufacturers frequently utilize the crucial sterilization technique known as ethylene sterilization technique to keep the MDs secure. However, no ionizing sterilization methods are approved by the FDA for use in healthcare facilities [44]. In August 2003, the FDA approved a novel for processing reusable MDs that employs ozone as the sterilant [45]. Using USP-grade oxygen, steam-quality water, and power, the sterilizer produces its own sterilant internally, at the conclusion of the cycle, the sterilant is transformed back into oxygen and water vapor by passing via a catalyst before being vented into the room. The sterilizing cycle lasts for approximately 4 hours and 15 minutes and takes place at 30-35°C. other sterilization techniques utilized in healthcare institutions include ozone, peracetic acid immersion, hydrogen peroxide gas



plasma, and low-temperature sterilization techniques [46]. The least expensive method of sterilization for MDs is the Heat sterilization method, but it works only with materials that can withstand heat. However, the moisture from the steam is a deal-breaker for electronics. Vaporized hydrogen peroxide sterilization and vaporized hydrogen peroxide-ozone sterilization (TSO3, a Stryker subsidiary) are two potential ethylene oxide substitutes [47].

## 8. Packaging Of Medical Devices

Testing the packaging of MDs is important since it guarantees product safety, aids in ensuring compliance with regulatory authorities, and promotes environmental sustainability. Understanding the product and the kind of barrier required is crucial when it comes to the primary, secondary, and tertiary packaging that MDs have [48]. To maintain patient safety, pharmaceutical, and MDs packaging must adhere to tight regulations. Rigorous testing techniques are required for medical packaging to ensure that it meets criteria and specifications [49]. Strong seals that can retain the objects and resist coming undone are particularly crucial since they reduce the possibility of contaminating medical equipment or supplies. Good MD packaging must reach its destination without any rips, holes, or broken seals. Additionally, it must be capable of shielding the contents from external elements including moisture, light, and temperature [50]. Primary package selection should be considered early in development during drug formulation or device design. The steps and processes involved should be carried out in a step-wise approach [51]. Individual components and materials should first be qualified using the various USP tests, after which the final container closure can be qualified. Once the design is finalized, physical and functional testing stability and distribution studies can be run simultaneously and integrated into one program. Finally, Container Closure Integrity testing (CCI) and Extractables and leachable (E&L) testing are conducted in later stages [52].

## 9. Conclusion

MD design is altering to meet the ever-changing needs of patients, the healthcare system, and business. The development of sophisticated and comprehensive medical services that may help with tailored therapy, real-time patient health monitoring, and improved healthcare efficiency is made possible by emerging technologies like IoT, AI, and cloud computing. These

innovations have the potential to improve patient outcomes and change the way healthcare is provided. In addition, a developing trend that is altering how cities are controlled and built is the integration of AI with IoT in smart cities. To improve patient care coordination, patient monitoring, and cost-effective medical solutions, the IoT is connected to MD, and its design plays a crucial role in ensuring patient safety and improving healthcare outcomes while also accounting for ESG factors for a sustainable future.

The assessment of MD reliability stands as a pivotal aspect within the realm of QC. Nevertheless, there currently exists no explicit protocol or predictive model to anticipate such situations, QC an integral component of QMS for MD manufacturing, involves comprehensive measures for the evaluation of the MD. QMS plays a fundamental role in supporting the development and post-marketing monitoring of innovative MDs, ensuring the presence of suitable, adequate, and effective QMS. The quality of healthcare services relies on the functionality and the availability of MDs but healthcare institutions frequently grapple with issues like MDs malfunction and unavailability, affecting public healthcare services. To address these concerns, a QMS for MD manufacturing must incorporate robust controls to ensure strict adherence to design and quality specifications, with design controls standing as a prominent requirement within the quality system framework for MDs.

The implications of these studies are significant that there is a need for greater standardization and consistency in the QC process for MDs, Quality management reviews are vital to maintain constant adherence to customer and regulatory standards as well as to continuously increase QMS effectiveness and efficacy. QC is crucial for safeguarding the health and safety of patients. For the success of the healthcare services, it is essential that MDs are properly managed and maintained, design controls are essential for ensuring the safety and effectiveness of MDs. Future studies on various facets of MDs QC should be conducted with the goal of creating more thorough and uniform QC procedures for MDs, and they should be maintained properly by the healthcare facilities, The efficiency of DC in assuring the safety and efficacy of the MDs should be further investigated in a future study, to sum up.



MD sterilization has many advantages, including lowering the pathogenic load, preventing corrosion of expensive, highly precise tools, eliminating the breeding ground for bacteria, and removing pus, blood, foreign particles, and dirt left behind that may cause dangerous complications for the following patient undergoing surgery on whom the medical professional uses the instrument, and MD packaging is an essential part of assuring the security and effectiveness of MD throughout storage and transit. To prevent physical harm, biological contamination, and other exterior disturbances, proper packing is necessary. Validating medical device packaging is essential to achieving regulatory compliance and guaranteeing the effectiveness and safety of any contained reusable medical equipment. MD packing techniques and materials come in a wide variety, and it's crucial to think about how each sterilizer interacts with each one. Testing the packaging of medical devices is essential to ensuring both regulatory compliance and environmental sustainability. To guarantee the safety and effectiveness of the product, CCI and E&L testing is carried out at the final phases of medical device packaging development.

This review article has discussed the importance of the design of MDs, a well-functioning MD's QMS, The QMS should include DC measures, a QC process, and the steps to assess the reliability of MDs by following these guidelines, manufacturers can help to ensure the safety and effectiveness of their products, sterilization and packaging of MDs. The intricate interplay between these elements within the healthcare industry underscores their paramount importance, As we navigate to the era of rapid technological advancements and growing demands for safer and more effective MDs, a comprehensive understanding of these topics becomes increasingly vital. To ensure the continued improvement of patient safety, optimization of healthcare services, and seamless compliance with the regulatory standards, stakeholders must prioritize the harmonization of quality management, DC and reliability assessment. As we move forward, it is clear that collaborative efforts among the manufacturers, healthcare professional, regulatory bodies, and researchers will be indispensable in shaping the future of MD innovation, quality, and reliability. This review serves as a foundation upon which the quality and safety of MD to benefit patients worldwide.

## Abbreviations

MD- Medical device

QC- Quality control

AI - artificial intelligence

IoT- Internet of Things

POCT- point-of-care-testing

AR/VR - Virtual and augmented reality

ESG - environmental, social and governance

QMS- quality management system

CAPA- corrective actions and preventive actions

UDIs - Unique Device Identifiers

DHF – Design history file

FDA- Food and Drug Administration

ISO- International Organization for Standardization

CCI- Container Closure Integrity

E&L- Extractables and leachable

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The authors report no conflicts of interest. The authors alone are responsible for the content and writing of this article.



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